

## General information about company

|  |  |
|--|--|
| Scrip code   | 531112   |
| NSE Symbol   |  |
| MSEI Symbol  |  |
| ISIN   | INE011E01029   |
| Name of the company  | Balu Forge Industries Limited ( Formerly known as Amaze Entertech Limited) |
| Whether company is SME   | No   |
| Class of Security  | Equity Shares  |
| Type of report   | Quarterly  |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 31-12-2020   |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |  |
| Shareholding pattern filed under   | Regulation 31 (1) (b)  |
| Whether the listed entity is Public Sector Undertaking (PSU)?                              | No   |

## Declaration

| Sr. No. | Particular   | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1       | Whether the Listed Entity has issued any partly paid up shares?                        | No     | No                          | No                 | No                      |
| 2       | Whether the Listed Entity has issued any Convertible Securities ?                      | No     | No                          | No                 | No                      |
| 3       | Whether the Listed Entity has issued any Warrants ?                                    | Yes    | Yes                         | Yes                | No                      |
| 4       | Whether the Listed Entity has any shares against which depository receipts are issued? | No     | No                          | No                 | No                      |
| 5       | Whether the Listed Entity has any shares in locked-in?                                 | Yes    | Yes                         | Yes                | No                      |
| 6       | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     | No                          |                    |                         |
| 7       | Whether company has equity shares with differential voting rights?                     | No     | No                          | No                 | No                      |
| 8       | Whether the listed entity has any significant beneficial owner?                        | No     |                             |                    |                         |

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |                 |                         |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|-----------------|-------------------------|
|              |                                |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |                 | Total as a % of (A+B+C) |
|              |                                |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total           |                         |
| (A)          | Promoter & Promoter Group      | 3                          | 47926060                                     |  |   | 47926060                                       | 69.85   | 47926060  |            | 47926060        | 69.85                   |
| (B)          | Public                         | 1674                       | 20688940                                     |  |   | 20688940                                       | 30.15   | 20688940  | 0          | 20688940        | 30.15                   |
| (C)          | Non Promoter- Non Public       |                            |  |  |   |  |   |   |            |                 |                         |
| (C1)         | Shares underlying DRs          |                            |  |  |   |  |   |   |            |                 |                         |
| (C2)         | Shares held by Employee Trusts |                            |  |  |   |  |   |   |            |                 |                         |
|              | <b>Total</b>                   | <b>1677</b>                | <b>68615000</b>                              |  |   | <b>68615000</b>                                | <b>100</b>  | <b>68615000</b>   | <b>0</b>   | <b>68615000</b> | <b>100</b>              |



|  |                    |   |         |         |     |          |      |  |  |          |
|--|--------------------|---|---------|---------|-----|----------|------|--|--|----------|
|  | Employee<br>Trusts |   |         |         |     |          |      |  |  |          |
|  | Total              | 0 | 9200000 | 9200000 | 100 | 68271150 | 99.5 |  |  | 68449486 |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.  | Category & Name of the Shareholders (I)  | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |          |                                     |
|--|--|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
|  |  |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |          | Total as a % of Total Voting rights |
|  |  |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total    |                                     |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |                            |  |  |   |  |   |   |            |          |                                     |
| (1)  | Indian   |                            |  |  |   |  |   |   |            |          |                                     |
| (a)  | Individuals/Hindu undivided Family   | 3                          | 47926060                                     |  |   | 47926060                                       | 69.85   | 47926060  |            | 47926060 | 69.85                               |
| Sub-Total (A)(1)   |  | 3                          | 47926060                                     |  |   | 47926060                                       | 69.85   | 47926060  |            | 47926060 | 69.85                               |
| (2)  | Foreign  |                            |  |  |   |  |   |   |            |          |                                     |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) |  | 3                          | 47926060                                     |  |   | 47926060                                       | 69.85   | 47926060  |            | 47926060 | 69.85                               |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder         |                            |  |  |   |  |   |   |            |          |                                     |



|                 |  |      |          |  |  |          |     |          |   |          |     |
|-----------------|--|------|----------|--|--|----------|-----|----------|---|----------|-----|
| Total (A+B+C2 ) |  | 1677 | 68615000 |  |  | 68615000 | 100 | 68615000 | 0 | 68615000 | 100 |
| Total (A+B+C)   |  | 1677 | 68615000 |  |  | 68615000 | 100 | 68615000 | 0 | 68615000 | 100 |



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.   | No. Of Shares Underlying Outstanding convertible securities (X)                      | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|---|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
|   |  |  |  |   | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| A   | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |   |                                  |                                 |   |                                 |   |
| (1)   | Indian   |  |  |   |                                  |                                 |   |                                 |   |
| (a)   |  | 6600000  | 6600000  | 70.07   | 47840000                         | 99.82                           |   |                                 | 47926060  |
| Sub-Total (A)(1)                            |  | 6600000  | 6600000  | 70.07   | 47840000                         | 99.82                           |   |                                 | 47926060  |
| (2)   | Foreign  |  |  |   |                                  |                                 |   |                                 |   |
| Total Shareholding of Promoter and Promoter |  | 6600000  | 6600000  | 70.07   | 47840000                         | 99.82                           |   |                                 | 47926060  |

|  |   |         |         |       |          |       |  |  |          |
|--|---|---------|---------|-------|----------|-------|--|--|----------|
| Group (A)=<br>(A)(1)+(A)<br>(2)                                  |   |         |         |       |          |       |  |  |          |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                  |         |         |       |          |       |  |  |          |
| (1)  | Institutions  |         |         |       |          |       |  |  |          |
| (e)  | 0   | 0       | 0       | 9.64  | 7500000  | 100   |  |  | 7500000  |
| Sub-Total<br>(B)(1)  | 0   | 0       | 0       | 9.64  | 7500000  | 100   |  |  | 7500000  |
| ( 3 )  | Non-institutions  |         |         |       |          |       |  |  |          |
| (a(i))   | 0   | 0       | 0       | 0.61  | 220000   | 46.58 |  |  | 306799   |
| (a(ii))  | 0   | 2100000 | 2100000 | 16.29 | 10576150 | 100   |  |  | 10576150 |
| (e)  | 0   | 500000  | 500000  | 3.39  | 2135000  | 99.74 |  |  | 2140477  |
| Sub-Total<br>(B)(3)  | 0   | 2600000 | 2600000 | 20.29 | 12931150 | 98.05 |  |  | 13023426 |
| Total Public<br>Shareholding<br>(B)=(B)(1)+<br>(B)(2)+(B)<br>(3) | 0   | 2600000 | 2600000 | 29.93 | 20431150 | 98.75 |  |  | 20523426 |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |         |         |       |          |       |  |  |          |
| Total (<br>A+B+C2 )  | 0   | 9200000 | 9200000 | 100   | 68271150 | 99.5  |  |  | 68449486 |
| Total<br>(A+B+C )  | 0   | 9200000 | 9200000 | 100   | 68271150 | 99.5  |  |  | 68449486 |

### Individuals/Hindu undivided Family

| Serial No.  | 1                                       | 2                                  | 3                                   | 4                      | 5                               |                                       |
|---|---|------------------------------------|-------------------------------------|------------------------|---------------------------------|---------------------------------------|
| Name of the Shareholders (I)  | JASPALSINGH<br>PREHLADSINGH<br>CHANDOCK | TRIMAAN<br>JASPALSINGH<br>CHANDOCK | JAIKARAN<br>JASPALSINGH<br>CHANDOCK | SHIVAPUTRA<br>G BELLAD | PRASHANT<br>SHIVPUTRA<br>BELLAD | <a href="#">Click here to go back</a> |
| PAN (II)  | AAAPC6905C                              | AMUPC9701A                         | AYZPC0064F                          | ADEPB6516H             | ABXPB2050K                      | Total                                 |
| No. of fully paid up equity shares held (IV)  | 47840010                                | 75900                              | 10150                               | 0                      | 0                               | 47926060                              |
| No. Of Partly paid-up equity shares held (V)  |   |                                    |                                     |                        |                                 |                                       |
| No. Of shares underlying Depository Receipts (VI)   |   |                                    |                                     |                        |                                 |                                       |
| Total nos. shares held (VII) = (IV)+(V)+ (VI)   | 47840010                                | 75900                              | 10150                               | 0                      | 0                               | 47926060                              |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 69.72                                   | 0.11                               | 0.01                                | 0                      | 0                               | 69.85                                 |

| Number of Voting Rights held in each class of securities (IX)  |          |       |       |   |   |          |
|--|----------|-------|-------|---|---|----------|
| Class eg:X   | 47840010 | 75900 | 10150 | 0 | 0 | 47926060 |
| Class eg:y   |          |       |       |   |   |          |
| Total  | 47840010 | 75900 | 10150 | 0 | 0 | 47926060 |
| Total as a % of Total Voting rights  | 69.72    | 0.11  | 0.01  | 0 | 0 | 69.85    |
| No. Of Shares Underlying Outstanding convertible securities (X)  |          |       |       |   |   |          |
| No. of Shares Underlying Outstanding Warrants (Xi)   | 6600000  | 0     | 0     | 0 | 0 | 6600000  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)                   | 6600000  | 0     | 0     | 0 | 0 | 6600000  |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 69.96    | 0.1   | 0.01  | 0 | 0 | 70.07    |

|  |          |                |                |          |          |          |
|--|----------|----------------|----------------|----------|----------|----------|
| capital) (XI)=<br>(VII)+(Xi)(a) As<br>a % of (A+B+C2)              |          |                |                |          |          |          |
| Number of Locked in shares (XII)                                   |          |                |                |          |          |          |
| No. (a)  | 47840000 | 0              | 0              | 0        | 0        | 47840000 |
| As a % of total<br>Shares held (b)                                 | 100      | 0              | 0              | 0        | 0        | 99.82    |
| Number of Shares pledged or otherwise encumbered (XIII)            |          |                |                |          |          |          |
| No. (a)  |          |                |                |          |          |          |
| As a % of total<br>Shares held (b)                                 |          |                |                |          |          |          |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 47840010 | 75900          | 10150          | 0        | 0        | 47926060 |
| Reason for not providing PAN                                       |          |                |                |          |          |          |
| Reason for not<br>providing PAN                                    |          |                |                |          |          |          |
| Shareholder type   | Promoter | Promoter Group | Promoter Group | Promoter | Promoter |          |

## Foreign Portfolio Investors

|   |  |                                       |
|---|--|---------------------------------------|
| Serial No.  | 1  |                                       |
| Name of the Shareholders (I)  | Tano Investment Opportunities Fund( Formerly known as Nomisma Investment Opportunities Fund 1) | <a href="#">Click here to go back</a> |
| PAN (II)  | AAGCN9069H   | Total                                 |
| No. of fully paid up equity shares held (IV)  | 7500000  | 7500000                               |
| No. Of Partly paid-up equity shares held (V)  |  |                                       |
| No. Of shares underlying Depository Receipts (VI)   |  |                                       |
| Total nos. shares held (VII) = (IV)+(V)+ (VI)   | 7500000  | 7500000                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 10.93  | 10.93                                 |

| Number of Voting Rights held in each class of securities (IX)  |         |         |
|--|---------|---------|
| Class eg: X  | 7500000 | 7500000 |
| Class eg:y   |         |         |
| Total  | 7500000 | 7500000 |
| Total as a % of Total Voting rights  | 10.93   | 10.93   |
| No. Of Shares Underlying Outstanding convertible securities (X)  |         |         |
| No. of Shares Underlying Outstanding Warrants (Xi)   | 0       | 0       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)                   | 0       | 0       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 9.64    | 9.64    |

|  |         |         |
|--|---------|---------|
| capital) (XI)=<br>(VII)+(X) As a %<br>of (A+B+C2)                  |         |         |
| Number of Locked in shares (XII)                                   |         |         |
| No. (a)  | 7500000 | 7500000 |
| As a % of total<br>Shares held (b)                                 | 100     | 100     |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 7500000 | 7500000 |
| Reason for not providing PAN                                       |         |         |
| Reason for not<br>providing PAN                                    |         |         |



**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2**

| Serial No.  | 1                       | 2                           | 3                         | 4                         | 5                         |                          |
|---|-------------------------|-----------------------------|---------------------------|---------------------------|---------------------------|--------------------------|
| Name of the Shareholders (I)  | DHRUVIL<br>NIMESH JOSHI | HITESH<br>NATWARLAL<br>KAWA | REENA<br>KAUSHAL<br>GOHIL | RASHMI<br>NIMESH<br>JOSHI | YOGESHKUMAR<br>R SANGHAVI | Click here<br>to go back |
| PAN (II)  | BNFPJ9116B              | AGYPK8780F                  | APUPK1837L                | AGSPJ6909M                | AVDPS5342K                | Total                    |
| No. of fully paid up equity shares held (IV)  | 1800000                 | 1606150                     | 950000                    | 900000                    | 850000                    | 6106150                  |
| No. Of Partly paid-up equity shares held (V)  |                         |                             |                           |                           |                           |                          |
| No. Of shares underlying Depository Receipts (VI)   |                         |                             |                           |                           |                           |                          |
| Total nos. shares held (VII) = (IV)+(V)+ (VI)   | 1800000                 | 1606150                     | 950000                    | 900000                    | 850000                    | 6106150                  |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.62                    | 2.34                        | 1.38                      | 1.31                      | 1.24                      | 8.9                      |

| Number of Voting Rights held in each class of securities (IX)  |         |         |        |         |        |         |
|--|---------|---------|--------|---------|--------|---------|
| Class eg: X  | 1800000 | 1606150 | 950000 | 900000  | 850000 | 6106150 |
| Class eg:y   |         |         |        |         |        |         |
| Total  | 1800000 | 1606150 | 950000 | 900000  | 850000 | 6106150 |
| Total as a % of Total Voting rights  | 2.62    | 2.34    | 1.38   | 1.31    | 1.24   | 8.9     |
| No. Of Shares Underlying Outstanding convertible securities (X)  |         |         |        |         |        |         |
| No. of Shares Underlying Outstanding Warrants (Xi)   | 0       | 0       | 0      | 1050000 | 0      | 1050000 |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)                   | 0       | 0       | 0      | 1050000 | 0      | 1050000 |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 2.31    | 2.06    | 1.22   | 2.51    | 1.09   | 9.2     |

|  |         |         |        |        |        |         |
|--|---------|---------|--------|--------|--------|---------|
| capital) (XI)=<br>(VII)+(X) As a %<br>of (A+B+C2)                  |         |         |        |        |        |         |
| Number of Locked in shares (XII)                                   |         |         |        |        |        |         |
| No. (a)  | 1800000 | 1606150 | 950000 | 900000 | 850000 | 6106150 |
| As a % of total<br>Shares held (b)                                 | 100     | 100     | 100    | 100    | 100    | 100     |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 1800000 | 1606150 | 950000 | 900000 | 850000 | 6106150 |
| Reason for not providing PAN                                       |         |         |        |        |        |         |
| Reason for not<br>providing PAN                                    |         |         |        |        |        |         |

### Any Other (specify)

| Serial No.  | 1                | 2                                      | 3                         | 4        | 5                |                                       |
|---|------------------|--|---------------------------|----------|------------------|---------------------------------------|
| Category  | Bodies Corporate | Bodies Corporate                       | Non-Resident Indian (NRI) | HUF      | Clearing Members |                                       |
| Category / More than 1 percentage                 | Category         | More than 1 percentage of shareholding | Category                  | Category | Category         |                                       |
| Name of the Shareholders (I)                      |                  | HERMES CORPORATE ADVISORY PVT. LTD.    |                           |          |                  | <a href="#">Click here to go back</a> |
| PAN (II)  |                  | AACCH0481R                             |                           |          |                  | Total                                 |
| No. of the Shareholders (I)                       | 11               | 1                                      | 12                        | 6        | 5                | 34                                    |
| No. of fully paid up equity shares held (IV)      | 1241780          | 720000                                 | 658343                    | 240025   | 369              | 2140517                               |
| No. Of Partly paid-up equity shares held (V)      |                  |  |                           |          |                  |                                       |
| No. Of shares underlying Depository Receipts (VI) |                  |  |                           |          |                  |                                       |
| Total nos. shares held (VII) = (IV)+(V)+ (VI)     | 1241780          | 720000                                 | 658343                    | 240025   | 369              | 2140517                               |
|   |                  |  |                           |          |                  |                                       |

|   |         |        |        |        |     |         |
|---|---------|--------|--------|--------|-----|---------|
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.81    | 1.05   | 0.96   | 0.35   | 0   | 3.12    |
| Number of Voting Rights held in each class of securities (IX)                                       |         |        |        |        |     |         |
| Class eg: X   | 1241780 | 720000 | 658343 | 240025 | 369 | 2140517 |
| Class eg:y  |         |        |        |        |     |         |
| Total   | 1241780 | 720000 | 658343 | 240025 | 369 | 2140517 |
| Total as a % of Total Voting rights   | 1.81    | 1.05   | 0.96   | 0.35   | 0   | 3.12    |
| No. Of Shares Underlying Outstanding convertible securities (X)                                     |         |        |        |        |     |         |
| No. of Shares Underlying Outstanding Warrants (Xi)  | 500000  | 400000 | 0      | 0      | 0   | 500000  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)            | 500000  | 400000 | 0      | 0      | 0   | 500000  |

|  |         |        |        |        |     |         |
|--|---------|--------|--------|--------|-----|---------|
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.24    | 1.44   | 0.85   | 0.31   | 0   | 3.4     |
| Number of Locked in shares (XII)   |         |        |        |        |     |         |
| No. (a)  | 1240000 | 720000 | 655000 | 240000 | 0   | 2135000 |
| As a % of total Shares held (b)  | 99.86   | 100    | 99.49  | 99.99  | 0   | 99.74   |
| Number of equity shares held in dematerialized form (XIV)  | 1241740 | 720000 | 658343 | 240025 | 369 | 2140477 |
| Reason for not providing PAN   |         |        |        |        |     |         |
| Reason for not providing PAN   |         |        |        |        |     |         |

| Serial No. | Name of Shareholder | Name of the PAC | Number of shares | Percentage of shareholding by PAC |
|------------|---------------------|-----------------|------------------|-----------------------------------|
| 1          | Subhash Palav       |                 | 14150            | 0.02                              |
| 2          | Subhash Palav       | Smita Palav     | 8850             | 0.01                              |
| 3          | Subhash Palav       | Mandar Palav    | 40               | 0.01                              |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence