

# BALU FORGE INDUSTRIES LIMITED

(Formerly known as Amaze Entertech Limited)

CIN: L29100MH1989PLC255933

Regd. Office: 156 First Floor, Raghuleela Mega Mall, Poisar Gymkhana Road,  
Kandivali (West), Mumbai – 400 067

Website: [www.baluindustries.com](http://www.baluindustries.com), Email Id: [compliance@baluindustries.com](mailto:compliance@baluindustries.com), (M):-86550 75578

---

Date: 26<sup>th</sup> April 2021

To,  
Department of Corporate Services,  
BSE Limited  
P. J. Towers, Dalal Street,  
Mumbai – 400 001.

**Subject: - Annual Secretarial Compliance Report for the year ended March 31, 2021.**  
**Scrip Code: 531112**

Dear Sir(s),

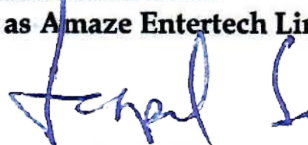

Pursuant to Regulation 24A of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMDI/27/2019 dated February 8, 2019 we are enclosing herewith the Annual Secretarial Compliance Report for the year ended March 31, 2021.

Kindly take the same on record.

Thanking you,

Yours faithfully,

**For Balu Forge Industries Limited**  
**(Formerly known as Amaze Entertech Limited)**

**Mr. Jaspalsingh Prehladsingh Chandock**  
**Managing Director**  
**DIN 00813218**

Encl: As above



# **PRIYA SHAH & ASSOCIATES**

## **PRACTISING COMPANY SECRETARY**

B-2/34, 2nd Floor Meghdoot CHS, Behind Star Apt., S.V. Road, Borivali (W), Mumbai - 400 092.  
Mob.: +91 9987 999 482 | Email: cspriyashah1@gmail.com

### **SECRETARIAL COMPLIANCE REPORT OF THE BALU FORGE INDUSTRIES LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2021**

I, Priya Shah, Proprietor of Priya Shah & Associates, Practising Company Secretaries, having office at B-2/34, Meghdoot CHS, near tehsildar office, natakwala lane, Borivali (W), Mumbai 400092, have examined the following as under:

- a) All the documents and records made available to me and explanations provided by BALU FORGE INDUSTRIES LIMITED
- b) The filings/ submissions made by the listed entity to the stock exchange
- c) Website of the listed entity
- d) And any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2021 in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 (SEBI Act) and the Regulations, circulars, guidelines issued there under; and
- b) The Securities Contracts (Regulations) Act, 1956 (SCRA), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (SEBI)

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (Listing Regulations);
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeover) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not Applicable to the Company during the Audit Period);
- e) Securities and Exchange Board of India (Shares Based Employee Benefits) Regulations, 2014; (Not Applicable to the Company during the Audit Period);
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not Applicable to the Company during the Audit Period);

- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not Applicable to the Company during the Audit Period);
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under except

*Delayed Submission of Financials Results under regulation 33 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for quarter ended June 2020, quarter ended September 2020 and quarter ended December 2020.*

- i. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records.
- ii. As confirmed by the management, there were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under
- iii. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practising Company Secretary in the previous reports (for the year ended March 2020)	Observation made in the Secretarial Compliance Report for the Year ended	Action taken by the listed Entity, if any	Comments of the Practising Company Secretary on the actions taken by the Listed entity
NIL				

**For Priya Shah & Associates**  
Practising Company Secretary



*Priyashah*

Date: April 26, 2021  
Place: Mumbai  
ICSI UDIN: F010763C000178106

**Priya Shah**  
Proprietor  
COP.: 21827  
FCS: 10763